

Attorney Docket No. 05679/LH

J. Joseph

IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE

Applicant(s) : Yasuaki NAGASHIMA et al

Serial No. : 10/553,161

Filed : October 14, 2005

Art Unit : 2828

CERTIFICATE OF MAILING

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Asst. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on the date noted below.


Joannia Joseph

Dated: October 26, 2006

**REQUEST FOR CORRECTED
FILING RECEIPT AND CORRECTED
NOTICE OF ACCEPTANCE**

Commissioner of Patents

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

ATTN: APPLICATIONS BRANCH

Sir:

Please issue a corrected Filing Receipt and a corrected Notice of Acceptance correcting the errors indicated in handwriting on the accompanying copy. Please also correct the Patent Office records.

FILING RECEIPT

1. Under Applicant(s), change the last name of the third inventor from "SHIMON" to --SHIMOSE--;
2. Add "Assignment for Published Patent Application" and under this heading add --Anritsu Corporation--;
3. Under Foreign Applications, insert --Japan 2004-074636 03/16/2004--.

NOTICE OF ACCEPTANCE Under PRIORITY DATE, insert --03/16/2004--.

Our transmittal letter dated October 14, 2005 and the executed Declaration indicate the correct spelling of the last name of the third named inventor and the priority application data.

The paper entitled Request for Publication of Assignment Information filed October 14, 2005 provided the Assignment Information.

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& Chick, P.C.
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LH/jj

Respectfully Submitted,

Leonard Holtz



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,161	10/14/2005	2828	900	05679/LH	12	19	1

CONFIRMATION NO. 9067

01933
FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.
220 Fifth Avenue
16TH Floor
NEW YORK, NY 10001-7708

RECEIVED
SEP 18 2006
FRISHAUF HOLTZ GOODMAN & CHICK, P.C.

FILING RECEIPT



OC0000000020389356

Date Mailed: 09/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Yasuaki Nagashima, Atsugi-shi, JAPAN;
Atsushi Yamada, Atsugi-shi, JAPAN;
SHIMOSE Yoshiharu Shimo, Atsugi-shi, JAPAN;
Tomoyuki Kikugawa, Atsugi-shi, JAPAN;

Assignment for Published Patent Application: Anritsu Corporation

Power of Attorney: The patent practitioners associated with Customer Number 01933.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/04556 03/15/2005

Foreign Applications

→ *Japan 2004-074636 03/16/2004*
Projected Publication Date: 12/21/2006

Non-Publication Request: No

Early Publication Request: No

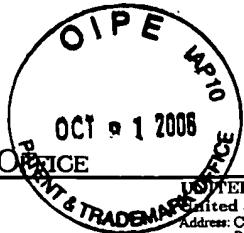
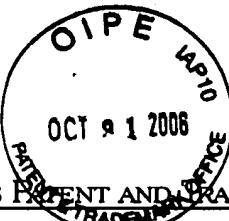
Title

Semiconductor laser capable of coupling with single mode optical fiber at high coupling efficiency

Preliminary Class



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/553,161	Yasuaki Nagashima	05679/LH

01933
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INTERNATIONAL APPLICATION NO.	
PCT/JP05/04556	

I.A. FILING DATE	PRIORITY DATE
03/15/2005	03/16/2004

CONFIRMATION NO. 9067
371 ACCEPTANCE LETTER



OC000000020389357

Date Mailed: 09/13/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>10/14/2005</u>	<u>10/14/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 10/14/2005
- English Translation of the IA filed on 10/14/2005
- Copy of the International Search Report filed on 10/14/2005
- Preliminary Amendments filed on 10/14/2005
- Information Disclosure Statements filed on 10/14/2005
- Oath or Declaration filed on 10/14/2005
- Request for Immediate Examination filed on 10/14/2005
- U.S. Basic National Fees filed on 10/14/2005
- Assignment filed on 10/14/2005
- Priority Documents filed on 10/14/2005

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**
ATTORNEY'S DOCKET NUMBER
05679/LH

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/JP2005/004556INTERNATIONAL FILING DATE
15 MARCH 2005PRIORITY DATE CLAIMED
16 MARCH 2004

TITLE OF INVENTION

SEMICONDUCTOR LASER CAPABLE OF COUPLING WITH SINGLE MODE OPTICAL FIBER AT HIGH COUPLING EFFICIENCY

APPLICANT(S) FOR DO/EO/US

Yasuaki NAGASHIMA; Atsushi YAMADA; Yoshiharu SHIMOSE & Tomoyuki KIKUGAWA.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A preliminary amendment.
14. An Application Data Sheet under 37 CFR 1.76.
15. A substitute specification.
16. A power of attorney and/or change of address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13(ter.2) and 37 CFR 1.821-1.825.
18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the International application under 35 U.S.C. 154(d)(4).
20. Other items or information:

12 sheets formal drawings (Figs. 1-17); Int. Search Report;
Change of Correspondence Address; Request for Pub. Of
Assignment Information; 10 references.

Express Mail Label No. EV 720 477 717 US

Date of Deposit: October 14, 2005

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

Barbara Villani



DECLARATION FOR PATENT APPLICATION

G-1663
(05S0429P1)

below named inventor, I declare :

that I verily believe myself to be the original, first and sole (if only one individual inventor is listed below) or an original, first and joint inventor (if more than one individual inventor is listed below) of the invention in

SEMICONDUCTOR LASER CAPABLE OF COUPLING WITH SINGLE MODE OPTICAL FIBER AT HIGH COUPLING EFFICIENCY

the specification of which is attached hereto unless the following box is checked.

was filed on March 15, 2005 as United States Application or PCT International Application No. PCT/JP2005/004556, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information of which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C. 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Category</u>	<u>Application Number</u>	<u>Filing Date</u>	<u>Priority Claim</u>
Japan	Patent	2004-074636	March 16, 2004	Yes

I hereby appoint as my attorneys, with full power of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith the practitioners at

Customer Number : 01933

of Frishauf, Holtz, Goodman & Chick, P.C.. Please address all correspondence and telephone communications to the address and telephone number for this Customer Number.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

DECLARATION FOR PATENT APPLICATION

G-1663
(05S0429P1)

I declare further that my citizenship, residence and mailing address are as stated below above my name:

[1st Inventor]

Residence Address: Atsugi-shi, Japan
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Citizenship: Japan

Yasuaki Nagashima
Yasuaki Nagashima

SEP. 28. 2005

Date:

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Mailing Address: c/o Intellectual Property Promotion Department, ANRITSU CORPORATION,
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Citizenship: Japan

Atsushi Yamada
Atsushi Yamada

SEP. 28. 2005

Date:

[3rd Inventor]

Residence Address: Atsugi-shi, Japan
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Citizenship: Japan

Yoshiharu Shimose
Yoshiharu Shimose

SEP. 28. 2005

Date:

[4th Inventor]

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Mailing Address: c/o Intellectual Property Promotion Department, ANRITSU CORPORATION,
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Citizenship: Japan

Tomoyuki Kikugawa
Tomoyuki Kikugawa

SEP. 28. 2005

Date:

[5th Inventor]

Residence Address:
Mailing Address:
Citizenship:



Attorney Docket No. 05679/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Y. NAGASHIMA et al

Serial No. : National Phase of
PCT/JP2005/004556

Filed : Herewith

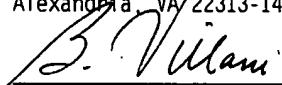
For : SEMICONDUCTOR LASER
CAPABLE OF COUPLING
WITH SINGLE MODE
OPTICAL FIBER AT HIGH
COUPLING EFFICIENCY

Art Unit :
Examiner :

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Date of Deposit: October 14, 2005

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on the date indicated above and is
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Alexandria, VA 22313-1450


Barbara Villani

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extension of time is not filed
concurrently herewith, please consider
this as a Petition for the requisite
extension of time, and to the extent not
tendered by credit card payment attached
hereto, authorization to charge the
extension fee, or any other fee required
in connection with this Paper, to Account
No. 06-1378.

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**

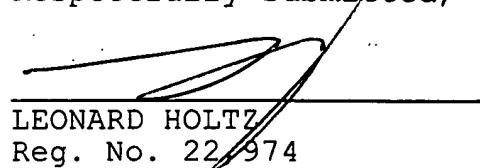
Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

S I R :

It is requested that the following assignment information be
published as part of the 18 month publication of the present
application:

**Anritsu Corporation
1800, Onna, Atsugi-shi,
Kanagawa 243-8555 JAPAN**

Respectfully submitted,


LEONARD HOLTZ
Reg. No. 22974

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